

# SUJAL SANKHE, CSC

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Language known - French, Hindi.

Post-graduate in Management Studies (finance specialization), and **Successfully completed Canadian Securities Course (CSC).**

**Skills:** Excellent communication, research skills, analyzing and interpreting large databases, developing regulations related to securities markets, developing processes for optimization of compliance reporting, detailed knowledge of Know Your Client Rules (KYC), designing & implementation of action plans, problem-solving and time management skills, Excellent flexibility and change management skills, and working in diverse cross-functional teams.

## WORK EXPERIENCE

**Organization: Securities and Exchange Board of India (SEBI)**

**2009 – 2018**

### Achievements:

- As part of a taskforce to reduce investigation timelines which helped reduce the time per case to under 3-months from 12 months.
- Designed database to reflect status of cases across 10 division in a department with 150 officers.
- Designed templates for frequently used reports with option to populate reports further from researches.
- Organized and Complete one investor reach program in each month.

**Assistant General Manager - Investigation Department**

**2012 –2018**

- Conduct preliminary analysis on research requests for initial investigations.
- Analyzing large data bases of various securities market transactions.
- Preparing reports covering identified violations along with the detailed analysis and rationale of conclusions.
- Studying the flow of funds and identifying networks of traders who attempt manipulating the prices of securities.
- Analyzing various corporate actions and reconciling same with effect on outstanding market Cap.
- Designing the departments database for actively monitoring investigation-case timelines, formulating a standardized report template used by the department and improving it based on received feedback.

**Manager - Foreign Portfolio Investor (FPI) and Custodian Division**

**2009 –2012**

- Undertaking registrations of FPIs and custodians such as JPMorgan, Citi, HSBC, Deutsche, Société- General to enable them to transact in the Indian securities markets.
- Performing research through SEBI internal databases, as well as external regulatory databases to gather and analyze data in order to make a preliminary assessment for suitability of proposed FPIs.
- Reviews and makes appropriate recommendations to decision making authority as per SEBI Regulation.
- Reviews, evaluates and determines appropriate action for requests for discretionary exemptions.
- Interprets and communicates/clarifies SEBI's Regulations, Circulars, by-laws governing registration.
- Liaises with SEBI's Regional Offices to discuss regulatory requirements and exchange information on individual applicant filings.

## EDUCATIONAL

**Masters in Management Studies (Finance) -**

**2009**

Institute - Jamnalal Bajaj Institute of Management Studies, Mumbai, India

**Bachelor of Engineering (Electronics) -**

**2005**

Institute - Veermata Jijabai Technological Institute (VJTI), Mumbai, India