



Gautam Kamat, ACA (India), CISA

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Career Summary

- Goal-oriented effective team leader with **9+ years of experience with leading Information Systems audits** for integrated audit engagements
- Over **16 years with a Big4** accounting firm in **India and US**, including **11 years in process and IT risk assurance**
- Worked with **financial audit teams and client management** in performing **process level risk assessment and evaluation of control frameworks** to strengthen internal controls to support **integrated audit opinions**.
- **Experienced in diverse industries** including manufacturing (automobile, industrial machinery, capital goods, etc.), telecom, pharmaceutical, IT software/services, shared service centers, real estate broking, auction house, financial services and oil & gas.

Key Skills

- End-to-End Project Management
- Audit Methodology, R&Q
- Audit Proposals
- Hiring, Training, Coaching and People Management
- ICFR (US-SOX & India)
- Post-implementation reviews
- ITGC, ITAC, IPE, SOCI Reports
- Data Analytics
- Sensitive Access & SoD Analysis
- SAP R/3, Oracle EBS, Bespoke systems
- Windows, Unix, Oracle DB
- MS-Office, ACL, Quest Toad Querying

Career Progression

Organization	Job Title	Period
Symantec Corporation - Corporate Risk Assurance Pune (Maharashtra), India	Senior Manager	Nov. 2017 – May 2019
<ul style="list-style-type: none"> • Internal Audit leader for Company's India operations leading a team of 5 members and collaborating with the senior management in driving the organization's SOx program. Overall responsibility for planning, scoping, review and reporting on the IT General Controls and IT Automated Controls for global SOX reporting. • Revised testing approaches and documentation templates to make them more effective and efficient. Conducted workshops with the functional / business teams to coach and educate the teams on the expectations from the control owners and performers. • Collaborate with IT Risk & Compliance team to ensure on going engagement with the IT Teams supporting the SOX applications and infrastructure and improve the control performance results. • Participate in internal investigations with the Office of Ethics and Compliance 		
Price Waterhouse - Systems & Process Assurance Pune (Maharashtra), India	Associate Director	Apr. 2016 – Nov. 2017
	Manager	Apr. 2014 – Mar. 2016
<ul style="list-style-type: none"> • Collaborate with core audit team management on ICFR implementation projects and on business development opportunities to demonstrate firm's capabilities in IT audits in an ICFR environment. Help client management strengthen their IT control environment by providing continuous feedback based on the results of our procedures. • Collaborate with international PwC teams to implement a process for cross-border reporting to support the Indian firm's reporting on ICFR required under Indian Companies Act, 2013. • Member of the internal R&Q team to ensure effective and efficient implementation of the firm's audit methodology and quality standards. Also empaneled to perform global Risk & Quality reviews. • Lead firm initiatives in the local roll-out of firm's global tools for advanced data analytics and client-collaboration and processes for the use of offshore support teams. • Coach and guide team to enhance audit quality to comply with internal and external inspection reviews, without adversely affecting engagement economics. • Instructor in internal training programs on risks and approaches to performing effective and efficient IT Audits. 		

Organization	Job Title	Period
PricewaterhouseCoopers LLP – Risk Assurance Florham Park (New Jersey), US	Manager	Jul. 2013 – Mar. 2014
	Senior Associate	Sep. 2010 – Jun. 2013
<ul style="list-style-type: none"> • Lead and manage IS Audit supporting SOx 404 opinion (including post-implementation and data center migration reviews). • As an internal audit team lead (external consultant), work with client senior management to re-design and roll out a SOX IT framework to align with current business practices and meet expectations of external auditors. • Support other teams in the New York Metro region as an SME for IS audits in the SAP R/3 environment. • Collaborate with the Data Assurance team to develop an approach for identification and analysis of manual journal entries in varied IT applications. • Member of the team representing the New York Metro practice in the firm sponsored SAP University program in collaboration with SAP AG 		
Price Waterhouse - Systems & Process Assurance Pune (Maharashtra), India	Assistant Manager	Apr. 2009 – Aug. 2010
	Associate	Apr. 2007 – Mar. 2009
	Officer	Jun. 2006 – Mar. 2007
<ul style="list-style-type: none"> • Executed and managed IS audits, supporting financial audits under the Indian Companies Act, SOx and IFRS reporting requirements in diverse IT environments. • Worked with an internal team to develop an ACL-based tool to perform data analytics on manual journal entries in the SAP R/3 environment • Worked onsite with PwC Germany on two occasions to perform access reviews and application configuration testing in SAP R/3 using the firm's internally developed GRC tool. • Participated in a SOx readiness review for one of the leading IT service organizations in India. 		
Price Waterhouse – Core Assurance Pune (Maharashtra), India	Officer	Jan. 2005 – May. 2006
	Intern	Aug. 2001 – Aug. 2004
<ul style="list-style-type: none"> • Participated in financial statement audits in several medium to large size multinational companies for reporting under the Indian Companies Act and reporting into international companies for their Indian subsidiaries. • Participated in Internal Audit projects for a large private manufacturing organization and a large state-owned organization for operational reviews. 		

Professional Qualifications and Certifications

Qualification	License No.	WES Evaluation	University / Institution	Year of Passing
Chartered Accountant (ACA)	134390 (Since Nov. 2009)	Bachelor's Degree (3 years)	Institute of Chartered Accountants of India	Nov. 2007
Certified Information Systems Auditor (CISA)	0976196 (Since Aug. 2009)	Not Applicable	Information Systems Audit and Control Association, US	Aug. 2006
Masters in Accounting & Finance	Not Applicable	Postgraduate Diploma (1 year)	University of Pune, India	May 2005
Bachelors in Accounting & Finance	Not Applicable	Bachelor's Degree (4 years)	University of Pune, India	May 2001

Other Information

- **Canadian Permanent Resident** since 18-May-2018; valid through 29-Jun-2023. Landing in Toronto, Canada on 20-Jun-2019.
- **Certificate courses in Software Programming and Networking** (1998-2001) from NIIT Limited, India
- One of the **lead speakers** at **“Seminar on Auditing in SAP Environment”** at the Pune Chapter of Institute of Chartered Accountants of India in June 2015